Attorney's Docket No. 002010-593 Patent

IN THE UNITED STATES		Attorney's Docket No.	Patent 002010 502
IN THE UNITED STATES PATE In re Patent Application of	ENT	AND TRADEMARK OFFICE	ੜ
Jing Wu, et al.)	_	CCH CE 02 SEF
Application No.: 09/915,263)	Group Art Unit: 1624	RECEI ENTER
Filed: July 27, 1997)	Examiner: B. Kifle	P 10 K
For: CYCLOALKYL, LACTAM, LACTONE AND RELATED COMPOUNDS, PHARMACEUTICAL COMPOSITIONS COMPRISING SAME, AND METHODS FOR INHIBITING β-AMYLOID PEPTIDE RELEASE AND/OR ITS SYNTHESIS BY USE OF SUCH COMPOUNDS	`	SE	CEIVED P 1 2 2002 NTER 1600/2900

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited below is enclosed.

3,598,859 4,080,449 4,460,579 5,478,857 Clemens et al. 09/12/2002 1556558 9000015 09915263 Claremon et al.	5/24/60 8/10/71 3/21/78 7/17/84 12/26/95 8/19/97
---	---

no Refis sent.

TECH CENTER 1500/2900 02 SEP -5 PM 4: 36

Information Disclosure Statement Application No. 09/915,263 Attorney's Docket No. 002010-593 Page 2

	Fore	eign Patents	
0 061 187	9/29/82	Europe	RECEIVED
810221A		Europe	SEP 1 2 2002
WO 92/16524	10/1/92	PCT	TECH CENTER 1600/2900
WO 95/25117	9/21/95	PCT	12011 02111211 1000/2000
WO 96/25408	8/22/96	PCT	
WO 96/29313	9/26/96	PCT	·
WO 97/38705	10/23/97	PCT	
WO 98/04539		PCT	
WO 97/16410	5/9/97	PCT	
WO 98/22494	5/28/98	PCT	
WO 98/22430	5/28/98	PCT	
WO 98/22433	5/28/98	PCT	
WO 98/22494	5/28/98	PCT	
WO 98/38177	9/3/98	PCT	
GB 1,519,495	7/26/78	UK	
HU 71515B	12/28/95	Hungary (abstract only)	
179757		Japan	

Non-Patent References

Akhatar, et al., "Bicyclic Imides with Bridgehead Nitrogen..", J. Org. Chem., 55: pp. 5222-5225 (1990).

Armstrong, et al., "An Efficient Asymmetric Synthesis of (R)-3-Amino-2,3,4,5-tetrahydro-1H-[1]benzazepin-2-one", *Tetrahedron Letters*, 35: pp. 3239-3242 (1994).

Barton, et al., "A New Rearrangement of Ketonic Nitrones...", J. Chem. Soc., pp. 1764-1767 (1975).

Ben-Ishai, et a., "Intra vs Intermolecular Amidoalkylation of Aromatics", *Tetrahedron*, 43:2, pp. 439-450 (1987).

Blade-Font, "Facile Synthesis of γ -,δ-, and ϵ -lactams by Cyclodehydration of ω -amino Acids on Alumina or Silica Gel", *Tetrahedron Letters*, 21: 2443-2446 (1980).

Brown, et al., "A Revision of the Structure of "7-Phenyloxindole", *Tetrahedron Letters*, 8: pp. 667-670 (1971).

Burkholder, et al., "The Synthesis of 6-Amino-5-Oxo-7-Phenyl-1,4-Oxazepines As Conformationally Constrained *Cauche* (-) Dipeptide Mimetics", *Biog. Med. Chem. Letter*, 2: p. 231 (1993).

Busacca, et al., "Synthesis of Novel Tetrahydrobenzazepinones", *Tetrahedron Letters*, 33:2, pp. 165-168 (1992).

Butcher, et al., "Preparation of 3-Amino-1,4-Benzodiazepin-2-Ones Via Direct Azidation with Trisyl Azide", *Tetrahedron Letters*, 37:37, pp. 6685-6688 (1996).

Chartier-Harlin, et al., "Early-onset Alzheimer's disease caused by mutations at codon 717 of the β -Amyloid precursor protein gene.", *Nature*. 353:31, pp. 844-846 (1991).

Citron, et al., "Mutation of the β -amyloid precursor protein in familial Alzheimer's disease increases β -amyloid protein production.", *Nature* 360:672-674 (1992).

Clark, et al., "Effects of Remote *N*-(ters-Butoxycarbonyl) Groups on Heteroatom Directed Lithiation at Benzylic Positions", *Tetrahedron*, 49:7, pp. 1351-1356 (1993).

Colombo, et al., "Synthesis of 7,5-Fused Bicyclic Lactams by Steroselective Radical Cyclization", *Tetrahedron Letters*, 35:23, pp. 4031-4034 (1994).

Cornille, et al., "Electrochemical Cyclization of Dipeptides Toward Novel Cicyclic, Reverse-Turn Pepidomimetics", J. Am. Chem. Soc., 117: pp. 909-917 (1995).

Crombie, et al., "Transamidation Reactions of β -Lactams", *Tetrahedron Letters*, 27:42, pp. 5151-5154 (1986).

Curran, et al., "A Short synthesis of Bicyclic Dipeptides Corresponding to Xxx-L-Pro and Xxx-D-Pro Having Constrained *Cis*-Proline Amides", *Tetrahedron Letters*, 36, pp. 191-194 (1995).

Das, et al, "Dual Metalloprotease Inhibitors IV", Biorg. Med. Chem. Lett., 4:18, pp. 2193-2198 (1994).

Desai, et al., "Polymer Bound EDC (P-EDC): A convenient Reagent for Formation of An Amide", *Tetrahedron Letters*, 34:48, pp. 7685-7688 (1993).

Dickerman, et al., "Studies in Piperdidone Chemisty", J. Org. Chem., 14, p. 530-536 (1949).

Dickerman, et al., "The Schmidt6 Reaction with 2,2,6-Trimethyl-And 1,3-Dimethyl-4-Piperidones", J. Org. Chem., 20: p. 206-209 (1955).

Dickerman, et al., "The Schmidt Reaction with 3-Ethoxycarbonyl-4-P[Peripdones and the synthesis of six 5-homo-piperazinones", J. Org. Chem., 19, p. 1855-1861 (1954).

Donaruma, et al., Organic Reactions, Ch. 1, "The Beckmann Rearrangement", pp. 1-156 (1960).

Edwards, et al., "Cyclization and Fragmentation of N-Chloro Lactams", Can. J. Chem., 49: pp. 1648-1658 (1971).

Flynn et al., "Applications of a Conformationally Restricted Phe-Leu Dipeptide Mimetic to the Design of a Combined Inhibitor of Angiotensin I-Converting Enzyme and Neutral Endopeptidase 24.11", *J. Med. Chem.*, 36: pp. 2420-2423 (1993).

Freidinger et al, "Protected Lactam-Bridged Dipeptides for Use as Conformational Constrains in Peptides", J. Org. Chem, 47: pp. 104-109 (1982).

Gaetzi, "Fungicidal Amino azacycloheptanones", Chem. Abs., 66: 28690m.

Games, et al., "Alzheimer-type Neuropathology In Transgenic Mice Overexpressing V717F β -amyloid Precursor Protein", *Letters to Nature*, 373: pp. 523-527 (19950.

Glenner, et al. "Alzheimer's Disease: Initial Report of the Purification and Characterization of a Novel Cerebrovascular Amyloid Protein." *Biochem. Biophys. Res. Commun.* 120(3): 885-890 (1984).

Goate, et al. "Segregation of a missense mutation in the amyloid precursor protein gene with familial Alzheimer's disease." Letters to *Nature*. 349: 704-706 (1991)

Gracias, et al., "Efficient Nitrogen Ring-Expansion Process Facilitated by in Situ Hemiketal Formation", J. Am. Chem. Soc., 117: pp. 8047-8048 (1995).

Grunewald, et al., "Effect of Ring Size or an Additional Heteroatom on the Optency and Selectivity of Bicyclic Benzylamine-Type Inhibitors of Phenylethanolamine *N*-Methyltransferase", *J. Med. Chem.*, 39, pp. 3539 (1996).

Hansen, et al., "Re-examination and further Development of a Precise and Rapid Dye Method for Measuring Cell Growth", *J. Immun. Meth.*, 119: pp. 203-210 (1989).

Hart, et al., "The Ester Enolate-Imine Condensation Route to β -Lactams", *Chem. Rev.*, 89: pp. 1447-1465 (1989).

Herschmann, "Recherches sur la nature du Methonitrile de Wallach", *Helv. Chim. Acta*, 7:329, p. 2537-2547 (1949).

Hoffman, et al., "Efficient Synthesis of N-Substituted Lactams from (N-Arylsulfonyloxy) Amines and Cyclic Ketones", *Tetrahedron Letters*, 30: pp. 4207-4210 (1989).

Hoffman, et al., "Synthesis and Structure of 7-Methyl-and 7-Pheynl-1,2,3,4-Tetrahydro-1,4-Diazepin-5-ones", *Tetrahydrodiazepinones.*, 27: p. 3565 (1962).

Holladay, et al., "Synthesis of α -Benzyl γ -Lactam, α -Benzyl δ -Lactam and α -Benzylproline Derivatives as Conformationally Restricted Analogues of Phenylalaninamide", *J. Org. Chem.*, 56: 3900-3905 (1991).

Hu, et al., "Two Efficient Syntheses of (+)-anti-N-Benzyl-3-Amino-4-Hydroxyhexahydroazepine", *Tetrahedron Letters*, 36:21, pp. 3659-3662 (1995).

Itoh, K., "Synthesis and Antiotensin Converting Enzyme-Inhibitory Activity of 1,5-benzothiazeine...", *Chemical Abstracts*, Vol. 111, No. 15, 10/9/89, Columbus, OH, Astract No. 126464h.

Johnson-Wood, et al. "Amyloid precursor protein processing and $A\beta_{42}$ deposition in a transgenic mouse model of Alzheimer's disease." *PNAS USA*. 94: 1550-1555 (1997).

Kawase, et al., "Electrophilic Aromatic Substituion with N-Methoxy-N-Acylnitrenium Ions Generated from N-Chloro-N-Methoxyamies", J. Org. Chem., 54: pp. 3394-3403 (1989).

King, et al., "Iodotrimthylsilane-Mediated 2-Mononhalogenation of 4-aza- 5α -androstan-3-one Steriods", *J. Org. Chem.* 58: pp. 3384 (1993).

Kitagawa, et al., "Structural Aanlysis of β-Turn Mimics Containing a Substituted 6-Aminocaproic Acid Linker", J. Am. Chem. Soc., 117: pp. 5169-5178.

Klolc, "Amino Acids and Peptides LXXXIX Synthesis of L-4-Azalysine, D-4-Azalsine, and L-4-Azalsine0[6-14C]" Coll. Czech. Chem. Comm., 34, pp. 630 (1969).

Kametani, et al., "A Simple Synthesis of 4-Thiazolidones, Tetrahydro-1,3-Thiazin-4-One and Hexahydro-1,3-Thiazepin-4-Ones from Amide-Thiols", *Hetercycles*, 9: pp. 831-840 (1978).

Krimm, "Uber Isonitron", Chem. Ber., 91: p. 1057 (1958).

Krow, et al., "Regioselective Functionalization", *J. Org. Chem.*, 61: pp. 5574-5580 (1996).

Ksander, G.M., et al. "Dual Angiotensin Converting Enzyme/Thromboxane Synthase Inhibitors.", *J. Med. Chem.* 37: 1823-1832 (1994).

Kukolja, et al., "Orally Absorbable Cephalosporin Antibiotics", J. Med. Chem., 28:12, pp. 1886 (1985).

Losse, G., et al., "Synthese Des Depsipeptides Valinomycin", *Tetrahedron*, 27, pp. 1423-1434 (1971).

Lowe, et al., "5,7-Diphenyl-3-Ureidohexahydroazepin-2-Ones as Cholecystokinin-B Receptor Ligands", *Bioorg & Med Chem Letters*, 4:24, pp. 2877-2882 (1994).

McKennis, et al., "The Synthesis of Hydroxycotinine and Studies on Its Structure", Synthesis and Hydroxycotinine", pp. 383-387 (1963).

Micouin, et al., "Asymmetric Synthesis", Tetrahedron, 52:22, pp. 7719-7726 (1996).

Miller, et al., "Application of Ring-Closing Methathesis to the Synthesis of Rigidified Amino Acids and Peptides", J. Am. Chem. Soc., 118, pp. 9606-9614 (1996).

Mullan, et al., "A Pathogenic Mutuation for Probable Alzheimer's Disease in the APP Gene at the N-Terminus of β-Amyloid", Nature Genetics 1, pp. 345-347 (1992).

Murrell, et al., "A Mutation in the Amyloid Precursor Protein Associated with Hereditary Alzheimer's Disease", *Reports*, pp. 97-99 (1991).

Nedenskov, et al., "Synthesis of Potential Hypnotics", Acta. Chem. Scand.., 12:7, pp. 1404-1410 (1958).

Ogliaruso and wolfe, Synthesis of Lactones and Lactams, Patai, et al., Ed., J. Wiley & Sons, NY:NY, (1993).

Orito, et al., "Benzolactams-1", Tetrahedron, 36:8, pp. 1017-1021 (1980).

Overberger, et al., "Optically Active Polyamides", *Brooklyn Polytechnic*, pp. 3431-3435 (1963).

Overberger et al., "The Synthesis of Optically Active C-Methyl-2-oxoheptamethyleminines and C-Methyl-7-aminoheptanoic Acids", *Macromolecules*, 1:1, pp. 1-6 (1968).

Parsons, et al., "Benzolactams. A New Class of Converting Enzyme Inhibitors", *Biochem. Biophys. Res. Comm.*, 117: pp. 108-113 (1983).

Pedersen, et al., "Studies on Organophosphorus Compounds", *Tetrahedron*, 35: p. 2433 (1979).

Reupple, et al., "Abberant Alkaloid Biosynthesis", J. Am. Chem. Soc., 93: 7021 et seq. (1971).

Robl, et al., "Synthesis of Benzo-Fused, 7,5-and 7,6-Fused Azepinones and Conformationally Restricted Dipeptide Mimetics", *Tetrahedron Lett.*, 36:10, pp. 1593-1596 (1995).

Robl, et al., "Dual Metalloprotease Ihibitors", Bioorg. Med. Che. Letter, 4: pp. 1789-1794 (1994).

Rodriguez, et al., "Conformationally Restricted Analogues of Methionine", *Tetrahedron*, 52: pp. 7727-7736 (1996).

Sekakida, et al., "Studies on Seven-membered Heterocyclic Compounds Containing Nitrogen", *Bull. Chem. Soc. Japan*, 44: pp. 478-480 (1971).

Selkoe, et al. "Amyloid Protein and Alzheimer's Disease." Scientific American. 68-78 (1991).

Selkoe, et al. "The Molecular Pathology of Alzheimer's Disease." *Neuron*. 6:487-498 (1991).

Shirota, et al., "Potential Inhibitors of Collagen Biosynthesis", J. Med. Chem., 20: pp. 1623-1627 (1977).

Skiles, et al., "Eleastase Inhibitors Containing Conformationally Restricted Lactams", *Bioorg. Med. Chem. Letter*, 3: pp. 773-778 (1993).

Slusarchyk, et al., "Dual Metalloprotease Inhibitors.V.", Bioorg. med. Chem. Lett., 5: pp. 753-758 (1995).

Smith, et al., "The Curtius Reaction", Organic Reacitons, Ch. 9, pp. 337-449 (1946).

Suda, et al., "Metalloporphyrin-catalysed Rearrangement of Oxaziridines", *J. Chem. Soc. Chem. Comm.*, pp. 949-950 (1994).

Thomas, et al, "Nuclear Magnetic Resonance Studies and Conformational Analysis of Bicyclic Inhibitors of Angiotensin-converting Enzyme", *J. Chem. Soc.* Perkin II, 747 (1986).

Ugi, et al., "Ugi Reactions with Trifunctional α -Amino Acids, Aldehydes, Isocyanides and Alcohols", *Tetradedron*, 52:35, pp. 11657-11664 (1996).

Van der Steen, et al., "Synthesis of 3-Amino-2-Azetidinones: A Literature Survey", *Tetrahedron Letters*, 47: pp. 7503-7524 (1991).

Vedejs, et al., "Synthesis of N-Methoxy and N-H Aziridines from Alenes", *Tetradedron Letters*, 33: pp. 3261-3264 (1992).

Wada, et al., "Stereospecific and Stereoselective Reactions", *Bull. Chem. Soc. Japan*, 46: pp. 2833-2835 (1973).

Wasserman, et al., "Total Synthesis of (\pm) -Dihydropheriphylline", J. Am. Chem. Soc., 103, p. 461-462 (1981).

Watthey, et al., "Synthesis and Biological Properties of (Carboxyalkyl)amino-Substituted Bicyclic Lactam Inhibitors of Angiotensin converting Enzyme", *J. Med. Chem.*, 28: pp. 1511-1516 (1985).

Wheeler, et al., "Formation and Photochemical Wolff Rearrangement of Cyclic α -Diazo Ketones", *Organic Syntheses*, Coll. Vol. VI, p. 840.

Wolff, "The Schmidt Reaction", Organic Reactions, ch. 8, pp. 307-336 (1946).

Yakoo, et al., "Studies on Seven-Membered Heterocyclic Compounds Containing Nitrogen", *Bull. Chem. Soc. Japan*, 29: p. 631 (1956).

Yanganasawa, et al., "Angiotensin-converting Enzyme Inhibitors", J. Med. Chem., 30: p. 1984-1991 (1987).

Japanese patent application 179757 and published International Application No. WO 98/04539 have been cited merely for completeness of the record because both were cited by the Japanese examiner in the examination of the Japanese counterpart of the present application. Neither reference has an early enough effective reference date to be available as a reference agains the present application. European patent application No. 810221A is the English language counter part of International Application No. WO 96/25408, already of record herein. Due to problems encountered in downloading EP 810221A, the copy provided herein is missing pages 327-336.

The chemistry text, "The Synthesis of Lactose and Lactams" has been cited in an exercise of caution because it is referenced in the application as a synthesis resource.

Because the text is over 1,000 pages, Applicants have merely enclosed the table of contents for the book.

A fee in the amount of \$180.00 (126) is enclosed in conformance with 37 C.F.R. § 1.97(c).

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date: 9-4-02

Gerald F. Swiss

Registration No. 30,113

P.O. Box 1404 Alexandria, Virginia 22313-1404 (650) 622-2300

IN THE INTERP	Attorney's Docket No. 002010-5	ent 9 3			
In re Dec	T AND TRADEMARK	2			
IN THE UNITED STATES PATENT In re Patent Application of	TRADEMARK OFFICE				
Jing Wu, et al.	,	SE SE			
Application No.: 09/915,263	Group Art Unit: 1624	ED Zogan			
Filed: July 27, 1997	Examiner: B. Kifle	S.			
For: CYCLOALRY					
For: CYCLOALKYL, LACTAM, LACTONE) AND RELATED COMPOUNDS, PHARMACEUTICAL COMPOUNDS,	RECEIVE	n			
COMPRISING COMPOSITIONS	SEP 1 2 2002				
TOK INHIBITING -, AND METHODS					
TEPTIDE DET TO TELLED (TECH CENTER 1600/2	000			
SYNTHESIS BY USE OF SUCH	1000/2	300			
j –					
SUPPLEMENTAL INFORMATION DIS TRANSMITTAL LE Washington					
TRANSMITTAY DIS	SCLOSURE STATES				
Assistant Commissioner for Patents Washington, D.C. 20231	TTER				
Sir;					
Enclosed is a Supplemental Information Disclosure PTO-1449 for the above-identified patent application. [] No additional fee for submitted.					
PTO-1449 for the above-identified patent application.	Statement and				
No additional for c	and accompanying form				
ADI SUDMICCIO					
A certification under 37 C.F.R. § 1.97(p) is also enclosed. A certification under 37 C.F.R. § 1.97(e) is also enclosed.					
a Certification					
in 37 C.F.R. § 1.17(p) are also enclosed. [1] Charge \$					
Charge \$to Deposit Account No. 02-4800 c					
the Amount of a					
§§ 1.16 1.17 Is enclo	sed for the fee due				
Deposit Account No. 02 (2) that may be required by the	Ppropriate form				
The Commissioner is hereby authorized to charge any a \$\\$\\$1.16, 1.17 and 1.21 that may be required by this paper, and Deposit Account No. 02-4800. This paper is submitted in duplic	to credit any overpayment.				
an dubilo	Cate.				
Respectfully submitted,					
BURNS, DOANE, S	SWECKER & MATHIS, L.L.P.				
P.O. Roy 160 - 02	MATHIS, L.L.P.				
Alexandria V	7-1				
Alexandria, Virginia 22313-1404 By: Gerald F. Swiss Gerald F. Swiss					
Registration I	No. 30,113				

•	Attorney's Docket No. 002010-593
IN THE UNITED STATES	ENT AND TRADEMARK OFFICE S
In re Patent	ENT AND TRADERS
In re Patent Application of	TRADEMARK OFFICE S
Jing Wu, et al.	H CEN 2 SEP
Application No.: 09/915,263	Group Art Unit: 1624
Filed: July 27, 1997) Examina
For: CYCLOALKYL, LACTAM, LACTONE AND RELATED COMPOUNDS, PHARMACEUTICAL COMPOSITIONS COMPRISING SAME, AND METHODS FOR INHIBITING β-AMYLOID	RECEIVED
PEPTIDE PELS	SEP 1 2 2002
PEPTIDE RELEASE AND/OR ITS SYNTHESIS BY USE OF SUCH COMPOUNDS	TECH CENTER 1600/2900
	•
SUPPLEMENT	
THENTAL INFORMATION	Diggran
SUPPLEMENTAL INFORMATION TRANSMITTAL Assistant Commissioner for Patents Washington, D.C. 20231	LETTER STATEMENT
Sir:	
Sii.	
Enclosed is a Supplemental Information Disclos PTO-1449 for the above-identified patent application. [] No additional fee for submits in	ure Statement
[] No additional fee f-	and accompanying form
11 CEITIFICATION	is also enclosed.
in 37 C.F.R. § 1.17(p) are also enclosed.	and the fee of \$180.00 (126)
Charge \$to Denosite	(120) as set forth
[X] A check in the amount of s	No. 02-4800 for the fee due.
[X] A check in the amount of \$\frac{180.00}{180.00}\$ is en The Commissioner is hereby authorized to charge at 1.16, 1.17 and 1.21 that may be required by this paper, a posit Account No. 02-4800. This paper is submitted in the	nclosed for the fee due
posit A and 1.21 that may be	TV on the title.
1.16, 1.17 and 1.21 that may be required by this paper, a posit Account No. 02-4800. This paper is submitted in di	appropriate fees under 37 C E p
paper is submitted in di	inlies any overpayment to

§§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate. Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date: P.O. Box 1404 Alexandria, Virginia 22313-1404 (650)622-2300

Gerald F. Swiss Registration No. 30,113